

Kenneth A. Carow

Indiana University
Kelley School of Business -Indianapolis
801 West Michigan Street
Indianapolis, IN 46202-5151
Email: kcarow@iu.edu

Education:

Chartered Financial Analyst (CFA)

Purdue University,
West Lafayette, Indiana
Ph.D. in Finance, August 1993

Truman State University, formerly Northeast Missouri State University,
Kirksville, Missouri
Bachelor of Science, Finance, May 1988

Leadership Experience:

Indiana University Kelley School of Business Indianapolis:

Executive Associate Dean of Faculty and Research (7/2016 – 6/2022)

Report to Dean Idie Kesner and Chancellor Nasser Paydar
Work jointly with Deans on the IUPUI
Reporting lines, approximately 30 tenure track faculty, 30 non-tenure track faculty, 100 part-time faculty, 2 direct staff, and 8 indirect staff
Strategic planning and implementation
Budgetary Responsibility (~ \$28 Million yearly income)
Oversee allocation and scheduling for all faculty
Hiring and mentor of faculty promotion for all faculty
AACSB faculty and research lead
University and external report writing
Oversee academic misconduct and dismissal cases

Associate Dean for Indianapolis Research and Programs (3/2010 – 6/2016)

Report to Executive Associate Dean Phil Cochran
Reporting lines, 7 direct staff, 18 indirect staff, and about 82 part-time faculty
Oversee allocation and scheduling for all faculty – about 56
Hiring and mentor of faculty promotion for all faculty – about 56
Oversee international programs and partnerships
AACSB reaccreditation lead
University and external report writing
Oversee academic misconduct and dismissal cases
Budgetary Issues
Development/Foundation meetings with prospects
Strategic planning and implementation
Associate Dean meetings for campus
Other duties as requested

Chair, Undergraduate Program KSBI (2005 – 2010)

Revised core business curriculum
Revised general education curriculum

Kenneth A. Carow

Developed Supply Chain Management Curriculum
Initiated Sun Yat-sen articulation agreement
Revised Trisakti articulation agreement

Co-Director of Kelley Direct American Banking Association Program (2005 – 2008)

Professional

Board of Directors, Thrivent (Fortune 500 company with \$180 Billion in assets, 2.3 million members, <https://www.thrivent.com/>) 2013 - present

Executive Committee of the Board of Directors, VP of Finance, Lutheran High School Indianapolis (<http://lhsi.org/>) 2011- 2016

Board of Directors, Lutheran High School Indianapolis (<http://lhsi.org/>) 2009-2016

Editorial

Associate Editor for *Journal of Financial Research* (2012- 2017)

Associate Editor for *The Financial Review* (2005- 2009)

Board of Directors for the *Midwest Finance Association* (2005- 2009)

Teaching Experience:

Indiana University,

Professor (2010 – Current)

Associate Professor (2003 – 2010)

Assistant Professor (1997 – 2003)

Financial Management, Physician MBA

Asset Valuation and Strategy, MBA

The Firm and the Capital Markets, MBA

Financial Management, Distance MBA

Advanced Topics in Financial Management, Distance MBA

Financial Management, Undergraduate

Poets & Quants, Favorite Professors of Executive MBAs (2023)

<https://bit.ly/3FkRxS7>

Lilly MBA Teaching Award (14)

MBA Teaching Excellence Award (99, 03, 04, 08, 09, 14, 16, 17)

Trustee Teaching Award (03)

Certificate for Excellence in Teaching (00)

by the IUPUI Intercollegiate Athletics Department

Yonsei University (Summer 2008), Sinchon, Korea

Financial Management

University of Tulsa, Assistant Professor (1993 - 1997)

Tulsa, Oklahoma

Financial Concepts, MBA (5 sections)

Financial Markets and Institutions, MBA (2 sections)

Working Capital Management, MBA (1 section)

Business Finance, Undergraduate (6 sections)

Kenneth A. Carow

Financial Institutions, Undergraduate (3 sections)
Working Capital Management, Undergraduate (1 section)
Real Estate Management, Undergraduate (1 section)
Nominated for Mayo Teaching Excellence Award 1997

Purdue University, Graduate Instructor (1989 - 1991)
West Lafayette, Indiana
Introduction to Corporate Finance, Undergraduate (5 sections)
Advanced Corporate Finance, Undergraduate (2 sections)

Research:

Areas of Interest:

Financial Institutions and Capital Markets

Accepted and Published Papers:

1. Carow, K., Salotti, V. "The U.S. Treasury's Capital Purchase Program: Treasury Selectivity and Market Returns Across Weak and Healthy Banks," *Journal of Financial Research* Vol 37, No 2 (2014), 211-241.
2. Carow, K., Kane, E., Narayanan, R., 2011. "Safety-Net Losses from Abandoning Glass-Steagall Restrictions", *Journal of Money Credit and Banking*. Vol 43, No 7 (2011), 1371-1398.
3. Carow, K., Heron, R., Lie, E., Neal, R., 2009. "Option Grant Backdating Investigations and Capital Market Discipline", *Journal of Corporate Finance*, Vol 15, No 5, (2009) 562-572.
4. Adams, B., Carow, K., Perry, T., 2009. "Earnings Management and Initial Public Offerings: The Case of the Mutual Thrift Industry", *Journal of Banking and Finance*, Vol 33, No 12, (2009) 2363-2372.

FDIC Center for Financial Research grant 2006

5. Carow, K., Cox, S., Roden, D., 2009. "Demutualizations: Determinants and Consequences of the Mutual Holding Company Choice" *Journal of Banking and Finance*, Vol 33, No 8 (2009) 1454-1463.
6. Carow, K., Cox, S., Roden, D., 2007. "The Role of Insider Influence in Mutual-to-Stock Conversions", *Journal of Money Credit and Banking*. Vol 39, No 6 (2007), 1285-1304.
Received Outstanding Paper Award in Financial Intermediation at the Midwest Finance Association meeting in March, 2003.
7. Carow, K., Kane, E., Narayanan, R., 2006. "How have Borrowers Fared in Banking Mega-mergers", *Journal of Money Credit and Banking*. Vol 38, No 3 (2006), 821-836.
NBER Working Paper Series #10623
8. Carow, K., Heron, R., Saxton, T., 2004. "Do Early Birds Get the Returns? An Empirical Investigation of Early-Mover Advantages with Acquisitions", *Strategic Management Journal*, Vol 25, No 6 (2004) 563-585.
Nominated for the McKinsey Strategic Management Society Best Conference Paper Prize
9. Carow, K., Cox, S., Roden, D., 2004. "Mutual Holding Companies: Evidence of Conflicts of Interest through Disparate Dividends", *Journal of Banking and Finance*, Vol 28, No. 2 (2004) 277-298.

10. Carow, K., Cox, S., Roden, D., 2003. "The Impact of Insider Motivation on Dual-Class Recapitalizations", *Journal of Business Disciplines*, Vol 4, No 2 (2003)
11. Carow, K., Heron, R., Larsen, G., 2003. "Equity Portfolio Return Enhancement Relative to Custom Benchmarks: The Potential for Exchange Traded Funds", *Institutional Investors Exchange Traded Fund Guide* (2003)
12. Carow, K., Kane, E., Narayanan, R., 2002. "Event-Study Evidence of the Value of Relaxing Longstanding Regulatory Restraints on Banks, 1970 – 2000", *Quarterly Review of Economics and Finance*, Vol 42, No 3 (2002) 439-463.
NBER Working Paper Series #8594
13. Carow, K., Heron, R., 2002. "Capital Market Reactions to the Passage of the Financial Services Modernization Act of 1999", *Quarterly Review of Economics and Finance*, Vol 42, No 3 (2002) 465-485.
14. Carow, K., Staten, M., 2002. "Plastic Choices: Consumer Usage of Third Party vs. Proprietary Credit Cards", *Journal of Economics and Finance*, Vol 26, No 2 (2002), 216-232.
15. Carow, K., 2001. "The Wealth Effects of Allowing Bank Entry into the Insurance Industry", *Journal of Risk and Insurance*, Vol 68, No 1 (2001), 129-150.
16. Carow, K., 2001. "Citicorp – Travelers Group Merger: Challenging the Barriers Between Banking and Insurance", *Journal of Banking and Finance*, Vol 25, No 8 (2001), 1553-1571.
17. Carow, K., Staten, M., 1999. "Debit, Credit, and Cash: Survey Evidence on Gasoline Purchases", *Journal of Economics and Business*, Vol 51, No 5 (1999), 409-422.
18. Carow, K., 1999. "Underwriting Spreads and Reputational Capital: An Analysis of New Corporate Securities", *The Journal of Financial Research*, Vol 22, No 1 (1999), 15-28.
19. Carow, K., 1999. "Evidence of Early-Mover Advantages in Underwriting Spreads", *Journal of Financial Services Research*, Vol 15, No 1 (1999), 37-55.
20. Carow, K., Erwin, G., McConnell, J., 1999. "A Survey of U.S. Corporate Financing Innovations: 1970-1997", *Journal of Applied Corporate Finance*, Vol 12, No 1 (1999), 55-69.
Also appears in *The New Corporate Finance: Where Theory Meets Practice*, Editor: Donald Chew, Jr. (2001), 294-308.
21. Carow, K., Heron, R., 1998. "The Interstate Banking and Branch Efficiency Act of 1994: A Wealth Event for Acquisition Targets", *Journal of Banking and Finance*, Vol 22, No 2 (1998), 175-196.
Received ANBAR Citation of Excellence
22. Carow, K., Larsen, G., 1998. "Bank Branching Laws as Determinants of Industry Concentration", *The Southern Business and Economics Journal*, Vol 22, No 1 (1998), 35-44.
23. Carow, K., Larsen, G., 1998. "The Impact of Securitization and Reciprocity Agreements on Mortgage Backed Security Spreads", *Journal of Business and Economic Perspectives*, Vol 24, No 1 (1998), 125-136.
24. Carow, K., Lee, W., "State Passage of Interstate Banking Legislation: An Analysis of Firm, Legislative, and Economic Characteristics", *Journal of Banking and Finance*, Vol 21, No 7

(1997), 1017-1043.

25. Carow, K., Larsen, G., 1997. "The Effect of FDICIA Regulation on Bank Holding Companies", *The Journal of Financial Research*, Vol 20, No 2 (1997), 159-174.
26. Carow, K., 1997. "Determinants of the Stock Price Reaction to Leverage Buyouts", *Journal of Economics and Finance*, Vol 21, No 3 (1997), 49-59.

Other Publications

"Money Talks: Conversation about the business of banking", *issues IN business*, December 1997, 16-18, 50-61.

The Benefits of Relationship Lending for Small Business, Main Street Initiative, April 2006

Research Proposals Funded:

FDIC Center for Financial Research (CFR) grant of \$10,000 was received for the proposal "Earnings Management and Initial Public Offerings: The Case of the Depository Industry" with Brian Adams and Tod Perry (2006)

Summer Productivity Grant – Kelley School of Business, 2005. This grant is based on research productivity.

Summer Productivity Grant – Kelley School of Business, 2004. This grant is based on research productivity.

Summer Productivity Grant – Kelley School of Business, 2003. This grant is based on research productivity.

Summer Productivity Grant – Kelley School of Business, 2002. This grant is based on research productivity.

Summer Research Grant – Kelley School of Business, 2001, for "Mutual Holding Companies and Agency Conflicts".

Summer Research Grant – Kelley School of Business, 2000, for "The Bargaining Power of Anti-takeover Provisions: Evidence from the Depository Industry".

Summer Research Grant – Kelley School of Business, 1999

Summer Research Grant – Kelley School of Business, 1998

Summer Research Grant – Kelley School of Business, 1997

College of Business Administration Summer Research Grant, 1996, resulted in a manuscript entitled "The Interstate Banking and Branching Efficiency Act of 1994: Capital Market Analysis and Bank Gains".

Faculty Development Summer Fellowship, University of Tulsa, 1995, resulted in a manuscript entitled "The Passage of Interstate Banking Legislation: How Regulatory Restraints Affect the Wealth of Banks".

Faculty Development Summer Fellowship, University of Tulsa, 1994; resulted in a manuscript entitled “Determinants of the Stock Price Reaction to Leverage Buyouts”.

Presentations:

Invited Presentations:

“Hype and Venture Waves: Examining Early Mover Effects for Ventures and Investors” with K. Carow, G. McNamara, M.K. Saxton, and T. Saxton, at Amrita University, India. October 2023.

“Customer Losses from Enacting the Financial Modernization Statute” with Edward Kane and Rajesh Narayanan, at the Kansas City Federal Reserve Conference on THE CHANGING ROLE OF COMMUNITY BANKS IN THE FINANCIAL SYSTEM AND THE ECONOMY, November 2007.

“Earnings Management and Initial Public Offerings: The Case of the Depository Industry”, with Brian Adams and Tod Perry, at the FDIC CFR conference in Washington, DC, October 2006.

“Citicorp – Travelers Group Merger: Evidence Concerning Future Deregulation”, National Conference on Financial Regulation, held at Queen’s University, Canada, December 2001.

National Meetings:

“How has Financial Modernization affected Corporate Customers?” with Edward Kane and Rajesh Narayanan, Financial Management Association, Chicago, IL, October 2005.

“How have Borrowers Fared in Banking Mega-mergers” with Edward Kane and Rajesh Narayanan, Financial Management Association, Chicago, IL, October 2005.

“Evidence of Managerial Opportunism during Mutual-to-Stock Conversions” with Steven Cox and Dianne Roden, Financial Management Association, Denver, CO, October 2003.

“Mutual Holding Companies: Evidence of Conflicts of Interest Through Disparate Dividends”, Australasian Finance and Banking Conference, Sydney, Australia, December 2002.

“Mutual Holding Companies: Evidence of Conflicts of Interest Through Disparate Dividends”, Financial Management Association, San Antonio, Texas, October 2002.

“Do Early Birds Get the Returns? An Empirical Investigation of Early-Mover Advantages with Acquisitions”, presented by my co-author Todd Saxton at the annual meeting of the Academy of Management, in August 2002 and also at the annual meeting of the Strategic Management Society in September 2002. **Nominated for the McKinsey Strategic Management Society Best Conference Paper Prize.**

“Citicorp – Travelers Group Merger: Evidence Concerning Future Deregulation”, Financial Management Association, Seattle, Washington, October 2000.

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“The Wealth Effects of Allowing Bank Entry into the Insurance Industry”, Financial Management Association, Orlando, Florida, October 1999.

“Evidence of Early-Mover Advantages in Underwriting Spreads”, Financial Management Association, Chicago, Illinois, October 1998.

“The Impact of Securitization and Reciprocity Agreements on Primary Mortgage Security Spreads”, Financial Management Association, New Orleans, Louisiana, October 1996, with Glen A. Larsen.

“Plastic Choices: Consumer Usage of Third Party vs. Proprietary Credit Cards”, Financial Management Association, New Orleans, Louisiana, October 1996, with Michael E. Staten.

“The Effect of FDICIA Regulation on Bank Holding Companies”, Financial Management Association, New York, New York, October 1995, with Glen A. Larsen Jr.

“Underwriting Spreads and Reputational Capital: An Analysis of New Corporate Securities”, Financial Management Association, St. Louis, Missouri, October 1994.

“The Passage of Interstate Banking Legislation: A Relevant Event for Bidders’ Wealth”, Financial Management Association, San Francisco, California, October 1992, with Winson B. Lee

Regional Meetings:

“The U.S. Treasury’s Capital Purchase Program: Treasury Selectivity and Market Returns Across Weak and Healthy Banks,” with Valentina Salotti, Southern Finance Association, Charleston, SC November 2012

“The U.S. Treasury’s Capital Purchase Program: Treasury Selectivity and Market Returns Across Weak and Healthy Banks,” with Valentina Salotti, Midwest Finance Association, New Orleans, LA February 2012

“Demutualizations: Determinants and Consequences of the Mutual Holding Company Choice” with Steven Cox and Dianne Roden, Midwest Finance Association, San Antonio, Texas, February 2008

“Earnings Management and Initial Public Offerings: The Case of the Depository Industry” with Brian Adams and Tod Perry, Midwest Finance Association, Minneapolis, MN, March 2007.

“How has Financial Modernization affected Corporate Customers?” with Edward Kane and Rajesh Narayanan, Midwest Finance Association, Milwaukee, WI, March 2005.

“Evidence of Managerial Opportunism during Mutual-to-Stock Conversions”
Received Outstanding Paper Award in Financial Intermediation at the Midwest Finance Association meeting in St. Louis, MO, March, 2003.

“Capital Market Reactions to the Passage of the Financial Services Modernization Act of 1999”, Midwest Finance Association, Chicago IL, March 2002

Kenneth A. Carow

“The Wealth Effects of Allowing Bank Entry into the Insurance Industry”, Midwest Finance Association, Cleveland OH, March 2001

“Evidence of Early-Mover Advantages in Underwriting Spreads”, Midwest Finance Association, Chicago, Illinois, April 1998

“The Interstate Banking and Branching Efficiency Act of 1994: A Wealth Event for Acquisition Targets”, Southwest Finance Symposium, Tulsa, Oklahoma, April 1997, with Randall A. Heron.

“The Interstate Banking and Branching Efficiency Act of 1994: A Wealth Event for Acquisition Targets”, Midwest Finance Association, Kansas City, Kansas, March 1997, with Randall A. Heron.

“State Passage of Interstate Banking Legislation: An Analysis of Legislative Features and Economic Conditions”, Southwest Finance Symposium, Tulsa, Oklahoma, April 1996, with Winson B. Lee.

“Underwriting Spreads and Reputational Capital: An Analysis of New Corporate Securities”, Southwest Finance Symposium, Tulsa, Oklahoma, April 1995.

“The Effect of FDICIA Regulation on Bank Holding Companies”, Southwest Finance Symposium, Tulsa, Oklahoma, April 1994, with Glen A. Larsen Jr.

“The Passage of Interstate Banking Legislation: A Relevant Wealth Event for Acquisition Targets and Bidders”, Midwest Finance Association, Chicago, Illinois, March 1994, with Winson B. Lee.

“Determinants of the Stock Price Reaction to Leveraged Buyouts”, Midwest Finance Association, Chicago, Illinois, March 1994, with Dianne Roden.

Speaking Engagements:

Invited Presentation on the Future of Learning – Excellence in Higher Education: The Role of Online Learning, Indianapolis, IN, October 23, 2014

Invited Presentation, The Financial Rescue and Future Consequences, Calvary Lutheran Church, Indianapolis, IN , July 14, 2010

Invited Presentation, Responses to Potential Inflation, Alliance Group Annual Meeting, Indianapolis, IN, May 14, 2010

Invited Presentation, Financial Crisis of 2008, Thrivent Financial, Indianapolis, IN, February 26, 2009

Invited Presentation, Financial Crisis of 2008, Kelley Staff, Bloomington, IN, January 16, 2009

Invited Presentation, Financial Crisis of 2008, Real Estate Group, Indianapolis, IN, October 25, 2008

Kenneth A. Carow

Financial Crisis Roundtable, KSBI Student Government sponsored event, Prepared 5-10 minutes comments and participated in 1 ½ hour question and answer period on the Financial Crisis, October 15, 2008, 4:30 to 6:00 pm.

Financial Crisis Roundtable, IUPUI, Prepared 5-10 minutes comments and participated in 1 ½ hour question and answer period on the Financial Crisis, October 7, 2008, noon to 1:30.

Speaker for the Financial Services Industry Forum 2000 for continuing education credit, “Banks and the Insurance Business”, May 24, 2000. Sponsored by the Indianapolis Chapter of the Society of Financial Service Professionals.

Speaker for the Society of Financial Service Professionals at their recognition dinner, “Bank and insurance deregulation: impacts on the insurance industry”, October 25, 1999.

Speaker for the Tulsa Chapter of Chartered Financial Analysis at their January 1997 luncheon. Discussed my interstate banking research and its implications for the Nationsbank/Boatman’s merger and the Banc One/Liberty mergers.

Honors and Awards:

[Favorite Professors of Executive MBAs](#), Poets and Quants, 2023

Lilly MBA Teaching Award, 2014

MBA Teaching Excellence Award, 2017

MBA Teaching Excellence Award, 2016

MBA Teaching Excellence Award, 2014

MBA Teaching Excellence Award, 2009

MBA Teaching Excellence Award, 2008

MBA Teaching Excellence Award, 2004

MBA Teaching Excellence Award, 2003

MBA Teaching Excellence Award, 1999

Trustees Teaching Award, 2003

Certificate for Excellence in Teaching by IUPUI Intercollegiate Athletics Department, 2000

Favorite Professor by IUPUI Intercollegiate Athletics Department, 2008

Nominated for May Teaching Excellence Award, 1997

Purdue Research Foundation Grant, 1991-92

MENSA, 1986-current

United States Achievement Academy, 1987-88

National Collegiate Business Award, 1988

Professional Memberships:

[Chartered Financial Analysts Society](#) of [Indianapolis](#), 2006 - current

[MENSA](#), 1986 - current

Society of Financial Services

Delta Sigma Pi

Academy of Finance Committee – Perry Township

Academy of Financial Services

Southern Finance Association

American Finance Association

Financial Management Association

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Midwest Finance Association
Eastern Finance Association

Committee / Service:

Professional

Board of Directors, [Thrivent](#) (Fortune 500 company with \$180 Billion in assets, 2.3 million members) 2013 - present
Executive Committee of the Board of Directors, VP of Finance, [Lutheran High School Indianapolis](#) 2011- 2016
Board of Directors, [Lutheran High School Indianapolis](#) 2009-2016

Editorial

Associate Editor for [Journal of Financial Research](#) (2012- 2017)
Associate Editor for [The Financial Review](#) (2005- 2009)
Board of Directors for the [Midwest Finance Association](#) (2005- 2009)

Community

Center for Leadership Development – Business Opportunity Program, Presenter 2008 – 2018)
Endowment Fund Board, Calvary Lutheran Church, Indianapolis, 2003-2017
Ministry Board of Directors, Calvary Lutheran Church, Indianapolis, 2003-2006
Head Counter, Calvary Lutheran Church, Indianapolis, 2003-current
Chapter President of Thrivent Financial South Marion County Chapter 2002-2005.
The board assists in the distribution of over \$200,000 in fraternal benefits to the South Marion County Area.
Academy of Finance Advisory Board for Perry Township 2002-2004.
The board provides opportunities for high school students to learn financial concepts and obtain internships in the community.

University:

IUI Vision 2024: Academic Affairs Issues Task Force, Committee Chair (2022-23)
IUI Vision 2024 Steering Committee (2022 – 2023)
IUPUI P&T Task Force (2021-24)
Executive Associate Dean (2016-22)
Lilly School of Philanthropy, School Review Committee (2022)
IUPUI Search Committee, Chancellor (2021-22)
IUPUI Faculty Council (2016-22)
IUPUI Council of Deans (2016-22)
IUPUI Dragggle of Deans (2016-22)
IUPUI Faculty Council of Academic Deans (FACADe) (2016-2022)
IUPUI Resource Planning Committee (2016-22)
IUPUI Search Committee, Associate Vice Chancellor for Finance (2019)
IUPUI Search Committee, Associate Vice Chancellor for Finance (2018)
IUPUI Search Committee, Associate Vice Chancellor for Finance (2017)
Associate Dean (2010-16)
IUPUI Search Committee, Selection Committee for IUPUI Associate Vice Chancellor for Enrollment Management (2014-2015)
IUPUI Search Committee, Selection Committee for Associate Dean International – Lilly School of Philanthropy (2014-2015)
IUPUI Mentoring Academy (2014-2017)
Plater Institute Panelist on online education (2014)
ACE Internationalization Leadership Team (2013-2014)

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ACE Recruiting and Retaining International Students, Faculty, Staff and Visitors
Subcommittee Potential Times (2013-2014)
Dean Search Committee – Kelley School of Business (2012-2013)
Financial Literacy Task Force – (2012-2015)
Center for Teaching and Learning Advisory Board (2012-2015)
IU Video Proctoring Task Force (2012-2013)
IUPUI Strategic Plan – Innovation and Discovery Task Force (2012-2013)
IUPUI Strategic Plan – Research Task Force (2012-2014)
IUPUI Strategic Plan – International Task Force (2012 - 2013)
IUPUI ACE International Laboratory (2012 – 2013)
IUPUI Center for Teaching and Learning Advisory Board (2012 – 2015)
IU Student Debt Task Force (2012 – 2013)
IUPUI Council of Associate Deans for Research (2010-2022)
Core Transfer Library Academic Panel (2010 – 2014)
Chair, Undergraduate Curriculum Advisory Committee (2011 – 2012)
Member, Undergraduate Curriculum Advisory Committee (2009 – 2011)
Search Committee, Selection Committee for IUPUI Associate Vice Chancellor for
International Affairs and IU Associate Vice President for International Affairs (2010-
2011)
New Directions Learning Committee (2010 – 2011)
Task Force on General Education (2010 – 2011)
Council of Associate Deans for Research (2010 – current)
Undergraduate Curriculum Advisory Committee (2009-2012)
Academic Procedures and Policy Committee IUPUI (2005-2011)
General Education Committee (2006 – 2007)
Diversity Research Scholars Program – Mentor (Summer 2007 Shehryar Qazi)
Interdisciplinary Faculty Collaboration Committee IUPUI (1998-1999)

Department / School:

Indiana University Kelley School of Business Indianapolis:
Lecturer Faculty Review Committee (2023-24)
Clinical Faculty Review Committee (2023-24)
Department Promotion Committee – Primary Letter writer (4 candidates) 2022
Executive Associate Dean of Faculty and Research (7/2016 – 6/2022)
Report to Dean Idie Kesner and Chancellor Nasser Paydar
Work jointly with Deans on the IUPUI
Reporting lines, approximately 30 tenure track faculty, 30 non-tenure
track faculty, 100 part-time faculty, 2 direct staff, and 8 indirect staff
Strategic planning and implementation
Budgetary Responsibility (~ \$28 Million yearly income)
Oversee allocation and scheduling for all faculty
Hiring and mentor of faculty promotion for all faculty
AACSB faculty and research lead
University and external report writing
Oversee academic misconduct and dismissal cases
Associate Dean for Indianapolis Research and Programs (3/2010 – 6/2016)
Report to Executive Associate Dean Phil Cochran
Reporting lines, 7 direct staff, 18 indirect staff, and about 82 part-time
faculty
Oversee allocation and scheduling for all faculty – about 56
Hiring and mentor of faculty promotion for all faculty – about 56
Oversee international programs and partnerships
AACSB reaccreditation lead

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University and external report writing
Oversee academic misconduct and dismissal cases
Budgetary Issues
Development/Foundation meetings with prospects
Strategic planning and implementation
Associate Dean meetings for campus
Other duties as requested

Chair, Undergraduate Program KSBI (2005 – 2010)
Revised core business curriculum
Revised general education curriculum
Developed Supply Chain Management Curriculum
Initiated Sun Yat-sen articulation agreement
Revised Trisakti articulation agreement

Member, Academic Council (2010 – 2022)
Chair, Director of Graduate Accounting Programs Committee (2013-2014)
Chair, Lecture in Business Management Recruiting Committee (2013)
Graduate Programs Recruiting Committee (2012 -2013)
Chair, Director of Graduate Programs Recruiting Committee (2012 -2013)
Chair, Director of Career Planning Office Recruiting Committee (2011)
Chair, Lecture in Business Management Recruiting Committee (2011)
Chair, Assessment Committee (2010- 2013)
Co-Chair, Director of Graduate Programs Recruiting Committee (2009-2010)
Chair, Lecture in Business Law Recruiting Committee (2009)
Co-Director of Kelley Direct American Banking Association Program (2005 - 2008)
Chair, Scholarship Committee KSBI, 2007
Chair, Economics Faculty Recruiting Committee KSBI 2007-2008
Chair, Finance Faculty Recruiting Committee KSBI 2003-2004
Chair, Undergraduate Program Restructure Committee KSBI 2003-2004
Chair, Assessment Task Force Undergraduate Program, 2006

Gakushuin University, Tokyo, Japan – Student visiting program (2014)
Search Committee – Associate Director MBA (2013-2014)
Assessment Committee Member (2013-2014)
Search Committee – Dean of Kelley School of Business (2012 – 2013)
Search Committee – Director of Business of Medicine Programs (2012)
Business Continuity Planning Committee (2012-2013)
Diversity Director, Interim (2011)
Department Chairs Committee (2010 – current)
Kelley Indianapolis Strategy Committee (2010 - 2011)
Dean’s Review Committee (2010)
Economics Faculty Recruiting Committee (2010)
Kelley Indianapolis Budget Committee (2008 – current)
Core School Operations Review Committee (2008)
Kelley Direct Tax Assessment Review Committee, 2008
Faculty Advisor, IUI Investment Club, 2007-current
Academic Council KSB, 2005-current
Administrative Council KSB, 2005-2007
Academic Policy and Procedures Committee (APPC), 2005-current
Undergraduate Policy Committee KSBB, 2003 - 2010
Undergraduate Policy Committee KSBI, 2001- 2010
Financial Database Committee KSB, 2003-2004

Thesis Committee 1999-2000: Shalinder Dadoo, "Risk and return analysis of issuing puttable bonds as a source of debt financing," IUPUI Economics Master Thesis.

Library Liaison and Research Coordinator KSBI, 1998 - current
Scholarship Committee KSBI, 1998, 2007

University of Tulsa:

Library Liaison, 1994-1997

Major Advisor, 1995-1997

AACSB Assessment Task Force, 1996

Working Paper Series Task Force, 1996

Internet Coordinator, 1996

Bookstore Committee, 1995

Academic Programs:

Program Selection Committee for the Midwest Finance Association (MFA) 2000, 2001, 2002, 2003, 2006, 2007, 2008, 2009

Best Paper Selection Committee, Midwest Finance Association, I reviewed papers for Best paper selection 2005, 2006.

Track Chair for Financial Institutions, Midwest Finance Association, I organized financial institutions sessions for the March 2001 conference.

Program Committee, Finance Management Association, I reviewed papers for the October 2001 conference.

Paper Section Committee, Southern Finance Association; I reviewed papers for the November 1998 conference

AAII Accepted Dissertation Proposal Committee Member for the Financial Management Association, 1997.

Discussant, Southern Finance Association (SFA) 2012

Discussant, Midwest Finance Association (MFA) 1994, 1997, 1998, 2000, 2001, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010

Discussant, Financial Management Association (FMA) 1992, 1994, 1995, 1996, 1998, 1999, 2000, 2002, 2012, 2020

Paper Reviews:

Journal of Banking and Finance

Journal of Money Credit and Banking

Journal of Risk and Insurance

Journal of Financial Intermediation

Journal of Corporate Finance

Journal of Financial Stability

The Financial Review

Journal of Financial Services Research

Journal of Financial Research

Journal of Financial Econometrics

Quarterly Review of Economics and Finance

Quarterly Journal of Business and Economics

Financial Services Review

Journal of Economics and Business

Journal of Economics and Finance

Journal of Business and Economic Perspectives

Journal of Multinational Financial Management

Risk Management and Insurance Review

Journal of Applied Finance

International Journal of Business and Economics

Quarterly Journal of Finance and Accounting
South Eastern Europe Journal of Economics
Review of Financial Economics
National Science Foundation

Book Reviews:

Textbook. 2000. *Capital Market*. South-Western College Publishing. I reviewed 6 chapters and made recommendations.

Textbook. 1999. *Principles of Finance: An Interactive Approach* for South-Western College Publishing. I reviewed three chapters and made recommendations.

Textbook: 1996. *Financial Markets and Institutions* by Dean Baim. for HarperCollins College Publishers. I reviewed several chapters and made recommendations.

Case Book: 1994. Nunnally and Plath Case Book. for Irwin Publishing. I reviewed 8 cases and made recommendations for improvements.

Executive Education Programs/Consulting:

Personal Finance Certificate EdX for Kelley Executive Education (2020-23)
Physician Leaders Program IUSM – Financial Acumen (2019, 2020, 2021, 2022)
Emerging Physician Leaders Program IU Department Chairs – Financial Acumen (2018, 2019, 2020, 2021)
Emerging Physician Leaders Program Union Hospital Terre Haute – Financial Acumen (2019, 2022)
Emerging Physician Leaders Program IUHealth Bloomington – Financial Acumen (2018)
Strategy Discussion – Merchants Bank (2018)

Television and Periodical Interviews:

Interviewed by Katrina Nickell, reporter with WDRB in Louisville, June 30, 2023.
Student Loan Budgeting after the Repayment Restart.

Quoted in Tribune-Star March 24, 2023, [Bank officials say there's no cause for local concern](#) (Also in [Yahoo](#))

Interviewed by Marc Mullins at WRTV, March 13, 2023. SBV Financial Collapse and Implications.

Quoted in Indy Star, August 5, 2021. [Employers should make people get vaccinated to keep the economy open](#)

Quoted in Bankrate.com, December 18, 2019 “What to expect when it’s time to cash out your CD” <https://www.bankrate.com/banking/cds/what-to-do-when-cd-matures/>

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